

# $G_2$ -instantons over twisted connected sums

Henrique N. Sá Earp

(inc. joint work with Marcos Jardim, Thomas Walpuski and Daniela Prata)

Group GTAG - Gauge theory and algebraic geometry

Unicamp, SP - Brazil

13 August 2014

## Gauge theory in higher dimensions

---

Gauge theory

Special holonomy

Berger's list

The  $G_2$  — structure

$G_2$  — instantons

$\longleftrightarrow$  HYM

## Twisted connected sums

---

The Hermitian Yang-Mills problem

---

Construction of asymptotically stable bundles

---

A polycyclic Hoppe theory

---

# Gauge theory in higher dimensions

Gauge theory in higher dimensions

Gauge theory

Special holonomy

Berger's list

The  $G_2$  – structure

$G_2$  – instantons

$\longleftrightarrow$  HYM

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

E.g.: Riemannian manifold  $(X, g)$  of dimension  $n \geq 4$ ,  $SU(m)$ –bundle  $E \rightarrow X$ ,  $A$  connection on  $E$ .

The *Yang-Mills functional*:

$$YM(A) \doteq \|F_A\|^2 = \int_X \langle F_A \wedge *F_A \rangle_{\mathfrak{su}(m)},$$

induces the (Euler-Lagrange) *Yang-Mills equation*

$$d_A^* F_A = 0.$$

$n = 4$  :  $\Omega^2 = \Omega_+^2 \oplus \Omega_-^2$ ,  $F_A = \pm * F_A$  (*SD* or *ASD*) sols.

$n > 4$  : ?

Gauge theory in higher dimensions

Gauge theory

Special holonomy

Berger's list

The  $G_2$  – structure

$G_2$  – instantons

$\longleftrightarrow$  HYM

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Tian et al.: a *closed*  $(n - 4)$ –form  $\Theta$  on  $X$  generalises (A)SD:

$$F_A \wedge \Theta = - * F_A \quad [\Theta - \text{instanton}].$$

¿How to find closed tensors?

*Holonomy theorem:*

$$\begin{aligned} \exists S \in \Gamma(\mathcal{T}) \quad \text{s.t.} \quad \nabla S = 0 \\ \Updownarrow \\ \exists x \in X, S_x \in \mathcal{T}_x \quad \text{s.t.} \quad \text{Hol}(g).S_x = S_x \end{aligned}$$

with  $\mathcal{T} \doteq (\otimes^\bullet TX \otimes \otimes^\bullet T^*X)$ .

Gauge theory in higher dimensions

Gauge theory

Special holonomy

Berger's list

The  $G_2$ –structure

$G_2$ –instantons

$\longleftrightarrow$  HYM

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

$(M^n, g)$  simply-connected Riemannian manifold,  $g$  irreducible and nonsymmetric; then exactly one of the following holds:

1.  $\text{Hol}(g) = SO(n)$
2.  $n = 2m, m \geq 2$  :  $\text{Hol}(g) = U(m) \subset SO(2m)$
3.  $\mathbf{n} = \mathbf{2m}, \mathbf{m} \geq \mathbf{2}$  :  $\text{Hol}(g) = \mathbf{SU}(m) \subset \mathbf{SO}(2m)$
4.  $n = 4m, m \geq 2$  :  $\text{Hol}(g) = Sp(m) \subset SO(4m)$
5.  $n = 4m, m \geq 2$  :  $\text{Hol}(g) = Sp(m) Sp(1) \subset SO(4m)$
6.  $\mathbf{n} = \mathbf{7}, \mathbf{m} \geq \mathbf{2}$  :  $\text{Hol}(g) = \mathbf{G}_2 \subset \mathbf{SO}(7)$
7.  $n = 8, m \geq 2$  :  $\text{Hol}(g) = \text{Spin}(7) \subset SO(8)$ .

We will be interested in the interplay between the following instances:

$$\text{Hol}(g) = SU(3) \quad (\text{Calabi-Yau 3-folds):} \quad \omega^{1,1}, \Omega^{3,0}$$

$$\text{Hol}(g) \subseteq G_2 \quad (G_2\text{-manifolds):} \quad \varphi^3 \quad (\text{and } *\varphi^4)$$

$$\varphi_0 = (e^{12} - e^{34}) e^5 + (e^{13} - e^{42}) e^6 + (e^{14} - e^{23}) e^7 + e^{567}$$

$\{e^i\}_{i=1,\dots,7}$  canonical basis of  $(\mathbb{R}^7)^*$ ,  $e^{ij} = e^i e^j \doteq e^i \wedge e^j$  etc.

$$G_2 \doteq \{g \in GL(7) \mid g^* \varphi_0 = \varphi_0\}$$

A  $G_2$ -structure on  $M^7$  is a form  $\varphi \in \Omega^3(M)$  s.t.,

$$\varphi_p = f_p^*(\varphi_0)$$

for some frame  $f_p : T_p M \rightarrow \mathbb{R}^7$ ,  $\forall p \in M$ .

If  $\nabla \varphi = 0$  (torsion-free),  $(M^7, \varphi)$  is a  $G_2$ -manifold; then we have

$$d\varphi = 0, \quad d *_{\varphi} \varphi = 0 \quad \text{and} \quad \text{Hol}(\varphi) \subseteq G_2.$$

Gauge theory in higher dimensions

Gauge theory

Special holonomy

Berger's list

The  $G_2$ -structure

$G_2$ -instantons

$\longleftrightarrow$  HYM

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

$(W, \omega)$  Kähler manifold,  $\mathcal{E} \rightarrow W$  holomorphic vector bundle:

$$\left\{ \begin{array}{c} \text{Hermitian metrics} \\ H \text{ on } \mathcal{E} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{c} \text{unitary (Chern) connections} \\ A = A_H \text{ on } \mathcal{E} \end{array} \right\};$$

in particular,  $F_{A_H} \in \Omega^{1,1}(\mathfrak{g})$ . Then  $H$  is *Hermitian Yang-Mills (HYM)* if the curvature has vanishing  $\omega$ -trace:

$$\hat{F}_A \doteq (F_A, \omega) = 0.$$

**Proposition.** A HYM connection  $A$  on a hol. v.b.  $\mathcal{E} \rightarrow W$  over a CY 3-fold  $W$  lifts to a  $G_2$ -instanton on  $p_1^* \mathcal{E} \rightarrow M = W \times S^1$ , where

$$\begin{aligned} \varphi &= \omega \wedge d\theta + \text{Im } \Omega, \\ * \varphi &= \frac{1}{2} \omega \wedge \omega - \text{Re } \Omega \wedge d\theta. \end{aligned}$$

Gauge theory in higher dimensions

---

**Twisted connected sums**

Ingredients

Asymptotically cylindrical Calabi-Yau 3-folds

Matching pairs of building blocks

Twisted gluing for  $\text{Hol}(\varphi) = G_2$

The Hermitian Yang-Mills problem

---

Construction of asymptotically stable bundles

---

A polycyclic Hoppe theory

---

# Twisted connected sums

Gauge theory in higher dimensions

Twisted connected sums

Ingredients

Asymptotically cylindrical Calabi-Yau 3-folds

Matching pairs of building blocks

Twisted gluing for  $\text{Hol}(\varphi) = G_2$

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

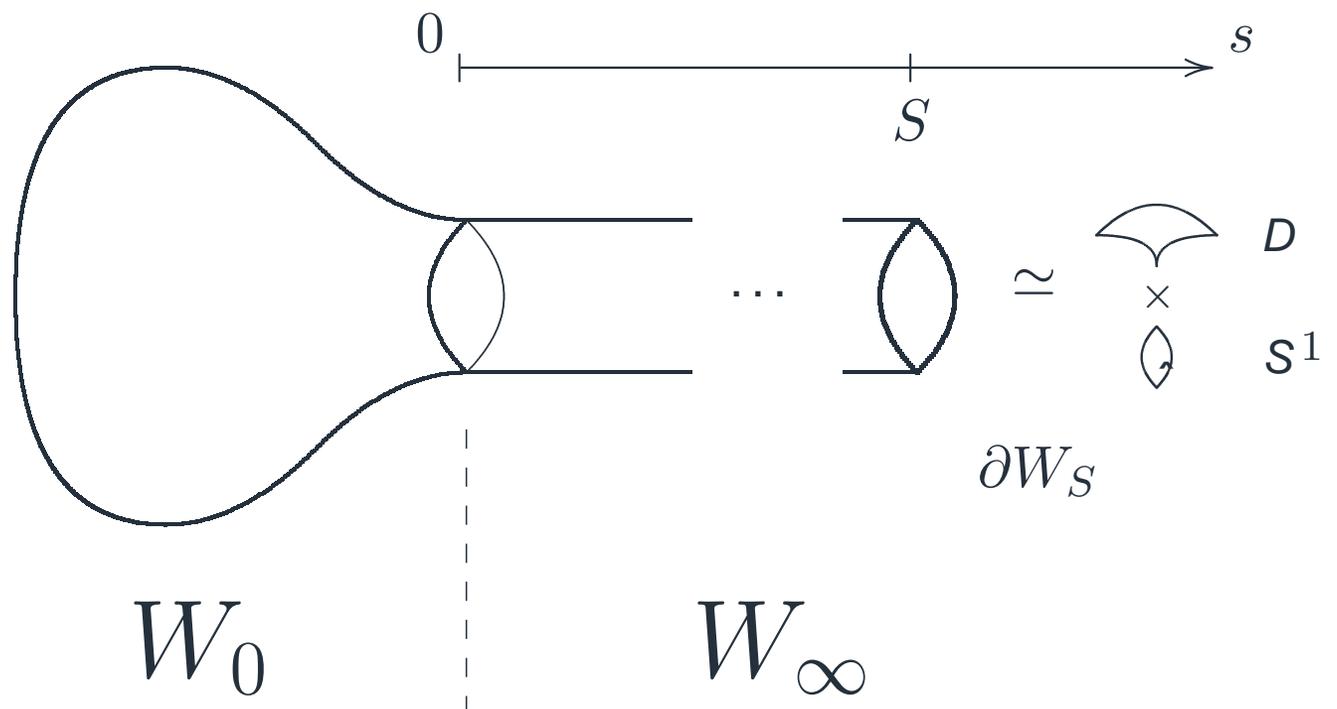
A polycyclic Hoppe theory

$(X^3, \bar{\omega}, I)$  compact, simply-connected, Kähler with:

- $\exists$  K3-surface  $D \in |-K_X|$  with  $\mathcal{N}_{D/X}$  (hol.) trivial;
- The complement  $W = X \setminus D$  has finite  $\pi_1(W)$ .

Think of  $W$  as  $W = W_0 \cup W_\infty$ , where  $W_0$  is compact with boundary and

$$\partial W_0 \simeq D \times S^1, \quad W_\infty \simeq (D \times S^1 \times \mathbb{R}_+).$$



# Asymptotically cylindrical Calabi-Yau 3-folds

Gauge theory in higher dimensions

Twisted connected sums

Ingredients

Asymptotically cylindrical Calabi-Yau 3-folds

Matching pairs of building blocks

Twisted gluing for  $\text{Hol}(\varphi) = G_2$

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

**Theorem** (Calabi-Yau-Tian-Kovalev-CHNP). For  $W = X \setminus D$  as above:

1.  $W$  admits a complete Ricci-flat Kähler structure  $\omega$ ;
2.  $\text{Hol}(\omega) = SU(3)$ , i.e.  $W$  is Calabi-Yau;
3. along the tubular end  $D \times S^1_\alpha \times (\mathbb{R}_+)_s$ , the Kähler form  $\omega$  and the holomorphic volume form  $\Omega$  are exponentially asymptotic<sup>1</sup> to those of the product Ricci-flat Kähler metric on  $D$ :

$$\begin{aligned}\omega|_{W_\infty} &= \kappa_I + ds \wedge d\alpha + d\psi \\ \Omega|_{W_\infty} &= (ds + \mathbf{i}d\alpha) \wedge (\kappa_J + \mathbf{i}\kappa_K) + d\Psi.\end{aligned}$$

We say  $(W, \omega)$  is an (*exponentially*) *asymptotically cylindrical Calabi-Yau (ACyICY) 3-fold*.

NB.:  $\kappa_I, \kappa_J$  and  $\kappa_K$  (hyper-)Kähler forms on the K3 surface  $D$ .

---

<sup>1</sup>with  $d\psi, d\Psi = O(e^{-s})$ .

# Matching pairs of building blocks

Gauge theory in higher dimensions

Twisted connected sums

Ingredients

Asymptotically cylindrical Calabi-Yau 3-folds

Matching pairs of building blocks

Twisted gluing for  $\text{Hol}(\varphi) = G_2$

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

A *building block* is a nonsingular algebraic 3-fold  $X$  with projective morphism  $f : X \rightarrow \mathbb{P}^1$  such that  $D := f^*(\infty) \in |-K_X|$  is a nonsingular K3 surface (...). Building blocks admit ACylCY metrics. *Matching data* for a pair of building blocks  $(X_{\pm}, D_{\pm})$ :

$$\mathbf{m} = \{(\omega_{I,\pm}, \omega_{J,\pm}, \omega_{K,\pm}), \mathfrak{r}\}$$

- choice of hyperkähler structures on  $D_{\pm}$  such that  $[\omega_{I,\pm}] = [\bar{\omega}|_{D_{\pm}}]$ ,
- hyperkähler rotation  $\mathfrak{r} : D_+ \rightarrow D_-$ , i.e., diffeo  $\mathfrak{r} : D_+ \rightarrow D_-$  s.t.

$$\mathfrak{r}^* \omega_{I,-} = \omega_{J,+}, \quad \mathfrak{r}^* \omega_{J,-} = \omega_{I,+} \quad \text{and} \quad \mathfrak{r}^* \omega_{K,-} = -\omega_{K,+}.$$

These can be obtained as  $X := \text{Bl}_C V$  for e.g. (weak) Fanos:

- $V = \mathbb{P}^3$
- $V \subset \mathbb{P}^4$ ,  $\deg(V) = 2, 3$
- $V = \mathbb{P}^2 \times \mathbb{P}^1$
- $V_{22} \hookrightarrow \mathbb{P}^{13}$  ( $g = 12$ ) :-)

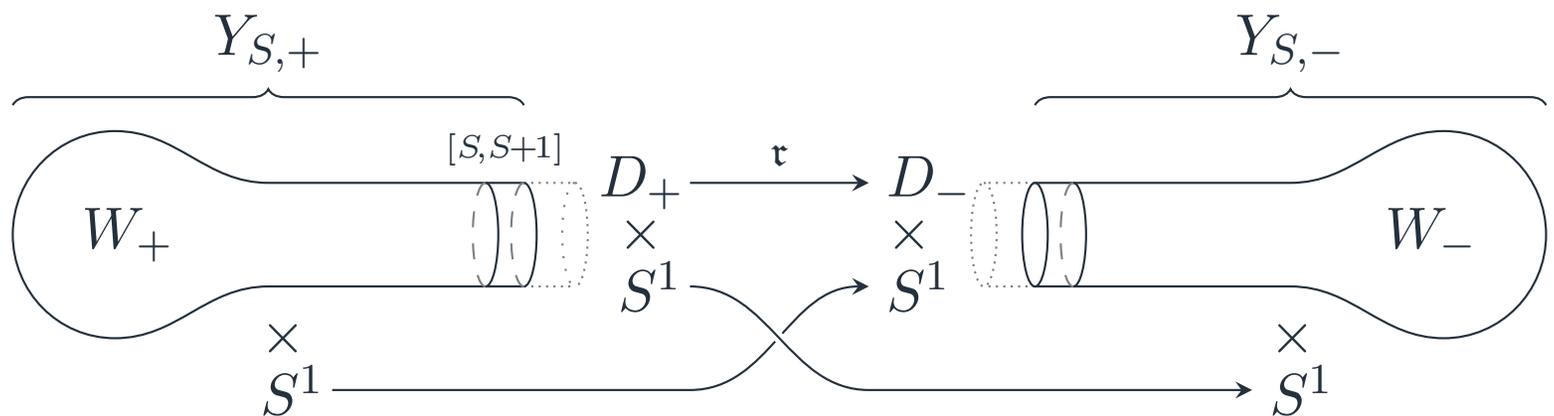
# Twisted gluing for $\text{Hol}(\varphi) = G_2$

Given a suitable pair of 3-folds  $W_+$  and  $W_-$  as above, one obtains a compact oriented 7-manifold

$$Y_S = (W_{S,+} \times S^1) \cup_{\tau} (W_{S,-} \times S^1) =: W_+ \tilde{\#}_S W_-.$$

‘Stretching the neck’, one equips  $Y_S$  with a  $G_2$ -structure  $\varphi_S$  satisfying exactly

$$\text{Hol}(\varphi_S) = G_2.$$



Gauge theory in higher dimensions

---

Twisted connected sums

---

The Hermitian Yang-Mills problem

---

Outline  
Asymptotically stable bundles  
Smooth solutions on  $W$  for all time

Enough of PDE!  
Instanton gluing theorem

Construction of asymptotically stable bundles

---

A polycyclic Hoppe theory

---

# The Hermitian Yang-Mills problem

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Outline

Asymptotically stable bundles

Smooth solutions on  $W$  for all time

Enough of PDE!

Instanton gluing theorem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

**Goal:** to solve the HYM problem  $\hat{F}_H = 0$  on suitable bundles over these ACylCYs, ergo  $G_2$ -instantons on the pull-back over  $W \times S^1$ .

**Strategy:** consider first the ‘nonlinear heat flow’

$$(\dagger) \begin{cases} H^{-1} \frac{\partial H}{\partial t} = -2i\hat{F}_H & \text{on } W_S \times [0, T[ \\ H(0) = H_0 \end{cases}$$

over a truncation, with (Dirichlet) boundary condition

$$H|_{\partial W_S} = H_0|_{\partial W_S}$$

where  $H_0$  is a fixed *reference (Hermitian) metric* on  $\mathcal{E} \rightarrow W$  with ‘good’ asymptotic behaviour. Then

$$H = \lim_{t < T \rightarrow \infty} \left( \lim_{S \rightarrow \infty} H_S(t) \right).$$

# Asymptotically stable bundles

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Outline

Asymptotically stable bundles

Smooth solutions on  $W$  for all time

Enough of PDE!

Instanton gluing theorem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

**Definition.** A bundle  $\mathcal{E} \rightarrow W$  is *stable at infinity* (or *asymptotically stable*) if it is the restriction of a hol.v.b.  $\mathcal{E} \rightarrow X$  satisfying:

- $\mathcal{E}$  is indecomposable;
- $\mathcal{E}|_D$  is stable, hence also  $\mathcal{E}|_{D_z}$  for  $|z| < \delta$ .

**Definition.** A *reference metric*  $H_0$  on an asymptotically stable bundle  $\mathcal{E} \rightarrow W$  is (the restriction of) a smooth Hermitian metric on  $\mathcal{E} \rightarrow X$  such that:

- $H_0|_{D_z}$  are the HYM metrics on  $\mathcal{E}|_{D_z}$ ,  $0 \leq |z| < \delta$ ;
- $H_0$  has finite energy:  $\|\hat{F}_{H_0}\|_{L^2(W,\omega)} < \infty$ .

# Smooth solutions on $W$ for all time

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Outline

Asymptotically stable bundles

Smooth solutions on  $W$  for all time

Enough of PDE!

Instanton gluing theorem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Following S. Donaldson, C. Simpson et al.:

- Each  $H_S(t)$  exists and is unique and smooth,  $\forall t \in ]0, \infty[$ .
- $H_S(t)$  are bounded in  $L_2^p(W_S)$  uniformly in  $t$ ,  $\forall 1 \leq p < \infty$ .
- Consequently,  $H_S(T)$  is of class  $C^1$  [Sobolev embedding] and  $\|F_{H_S}\|_{L^p(W_S)} < \infty$ ,  $\forall 1 \leq p < \infty$ .
- (...) *gruesome analysis* (...)
- $F_{H_S}$  is actually bounded in  $L_k^\infty(W_S)$  [bounds on Heat Kernel].
- $H(T)$  is smooth [elliptic regularity].

**Proposition.** *Given any  $T > 0$ ,  $\exists \{H(t)\}$  on  $\mathcal{E} \rightarrow W$ , solution of the evolution equations*

$$\begin{cases} H^{-1} \frac{\partial H}{\partial t} = -2i\hat{F}_H \\ H(0) = H_0 \end{cases} \quad \text{on } W \times [0, T]$$

*with 'good' asymptotic behaviour.*

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Outline

Asymptotically stable bundles

Smooth solutions on  $W$  for all time

Enough of PDE!

Instanton gluing theorem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

**Theorem 1** (S., 2011). *Let  $\mathcal{E} \rightarrow W$  be asymptotically stable, equipped with a reference metric  $H_0$ , over an ACylCY 3-fold  $W$  as given by the C-Y-T-K-CHNP theorem, and let  $\{H_t\}_{t \in ]0, \infty[}$  be the 1-parameter family of Hermitian metrics on  $\mathcal{E}$  solving the evolution equation  $(\dagger)$  over  $W$ ;*

*then the limit  $H = \lim_{t \rightarrow \infty} H_t$  exists and is a smooth HYM metric on  $\mathcal{E}$ , exponentially asymptotic in all derivatives to  $H_0$  along the tubular end:*

$$\hat{F}_H = 0, \quad H \xrightarrow[S \rightarrow \infty]{C^\infty} H_0.$$

...

so we have a  $G_2$ -instanton on  $p_1^* \mathcal{E} \rightarrow W \times S^1$ !!!

**Theorem 2** (S.-Walpuski, 2013).  $(X_{\pm}, D_{\pm}, \mathfrak{m})$  matching pair of building blocks,  $(Y, \varphi_S) := X_+ \#_S X_-$  the compact 7-manifold and  $\mathcal{E}_{\pm} \rightarrow X_{\pm}$  holomorphic bundles s.t.

- **Stability:**  $\mathcal{E}_{\pm}|_{D_{\pm}}$  is stable with corresponding ASD instanton  $A_{\infty, \pm}$ .
- **Compatibility:** isomorphism  $\bar{\mathfrak{r}}: \mathcal{E}_+|_{D_+} \rightarrow \mathcal{E}_-|_{D_-}$  covering  $\mathfrak{r}$  s.t.  $\bar{\mathfrak{r}}^* A_{\infty, -} = A_{\infty, +}$ .
- **Rigidity:** no infinitesimal deformations of  $\mathcal{E}_{\pm}$  fixing restriction to  $D_{\pm}$ :

$$H^1(X_{\pm}, \mathcal{E}nd_0(\mathcal{E}_{\pm})(-D_{\pm})) = 0.$$

- **Transversality:**  $\text{im}(\lambda_+) \cap \text{im}(\bar{\mathfrak{r}}^* \circ \lambda_-) = \{0\} \subset H_{A_{\infty, +}}^1$  for

$$\lambda_{\pm}: H^1(X_{\pm}, \mathcal{E}nd_0(\mathcal{E}_{\pm})) \rightarrow H_{A_{\infty, \pm}}^1 := \ker \left( d_{A_{\infty, \pm}}^* \oplus d_{A_{\infty, \pm}}^+ \right) \Big|_{D_{\pm}}$$

Then there exists a non-trivial  $\mathbf{PU}(n)$ -bundle  $E$  over  $Y$ , a constant  $S_1 \geq S_0$  and for each  $S \geq S_1$  an irreducible (...)  $G_2$ -instanton  $A_S$  on  $E$  over  $(Y, \varphi_S)$ .

Gauge theory in higher dimensions

---

Twisted connected sums

---

The Hermitian Yang-Mills problem

---

Construction of asymptotically stable bundles

---

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

---

# Construction of asymptotically stable bundles

# Slope stability and normalisation

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

Recall the *slope* of a coherent sheaf is

$$\mu(\mathcal{F}) := \frac{\deg(\mathcal{F})}{\operatorname{rk}(\mathcal{F})}.$$

A holomorphic vector bundle  $\mathcal{E} \rightarrow X$  (think  $X = X$ ) is said to be *stable* if, for every coherent subsheaf  $\mathcal{F} \hookrightarrow \mathcal{E}$ ,

$$\mu(\mathcal{F}) < \mu(\mathcal{E}).$$

A smooth projective variety  $X$  is *cyclic* if  $\operatorname{Pic}(X) = \mathbb{Z}$ ; then

$$\deg(\mathcal{E}) := c_1(\mathcal{E}) \cdot \mathcal{O}_X(1)^{\otimes (\dim X - 1)}$$

The *normalisation* of  $\mathcal{E} \rightarrow X$  is  $\mathcal{E}_{\text{norm}} := \mathcal{E}(-k_{\mathcal{E}})$ , with  $k_{\mathcal{E}} := \lceil \mu(\mathcal{E}) \rceil \in \mathbb{Z}$ . Clearly

$$-r + 1 \leq c_1(\mathcal{E}(-k_{\mathcal{E}})) = c_1(\mathcal{E}) - r \cdot k_{\mathcal{E}} \leq 0.$$

# Hoppe's stability criterion

**Criterion (Hoppe).** *Let  $\mathcal{E} \rightarrow \mathbb{P}^n$  be a holomorphic vector bundle of rank  $r = 2$  and  $c_1(\mathcal{E}) = 0$ ; then*

$$\mathcal{E} \text{ is stable} \Leftrightarrow h^0(\mathcal{E}) = 0.$$

*Proof.*

( $\Rightarrow$ ) A section  $s \in H^0(\mathcal{E})$  would give a monomorphism  $\mathcal{O}_{\mathbb{P}^n} \hookrightarrow \mathcal{E}$ , violating stability:  $\mu(\mathcal{O}_{\mathbb{P}^n}) = 0 \geq 0 = \mu(\mathcal{E})$ .

( $\Leftarrow$ ) A destabilising sheaf  $\mathcal{F} \hookrightarrow \mathcal{E}$  must be a line bundle.

Since  $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$ , we have  $\mathcal{F} = \mathcal{O}_{\mathbb{P}^n}(a)$  whose inclusion is a section of  $\mathcal{E}(-a)$ . By assumption, we must have  $a < 0$ , but then

$$a = \mu(\mathcal{F}) \geq \mu(\mathcal{E}) = 0 > a \quad (!) \quad \square$$

¿What about arbitrary degree?

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

**Criterion (Hoppe II).** Let  $\mathcal{E} \rightarrow X$  be a holomorphic vector bundle of rank  $r = 2$  over a cyclic variety; then

$$\mathcal{E} \text{ is stable} \Leftrightarrow h^0(\mathcal{E}_{\text{norm}}) = 0.$$

*Proof.*

( $\Rightarrow$ ) A section  $s \in H^0(\mathcal{E}_{\text{norm}})$  would give  $\mathcal{O}_X(k_{\mathcal{E}}) \hookrightarrow \mathcal{E}$ , violating stability:  $\mu(\mathcal{O}_X(k_{\mathcal{E}})) = k_{\mathcal{E}} \geq \frac{c_1(\mathcal{E})}{2} = \mu(\mathcal{E})$ .

( $\Leftarrow$ ) A destabilising sheaf  $\mathcal{F} \hookrightarrow \mathcal{E}$  must be a line bundle.

Since  $\text{Pic}(X) = \mathbb{Z}$ ,  $\mathcal{F} = \mathcal{O}_X(a)$  which gives a section of  $\mathcal{E}(-a) = \mathcal{E}_{\text{norm}}(k_{\mathcal{E}} - a)$ . By hypothesis, we must have  $a < k_{\mathcal{E}}$ , but then

$$a = \mu(\mathcal{F}) \geq \mu(\mathcal{E}) > k_{\mathcal{E}} - 1 \geq a \quad (!) \quad \square$$

¿What about arbitrary rank  $r \geq 2$ ?

**Criterion (Hoppe III).** Let  $\mathcal{E} \rightarrow X$  be a holomorphic vector bundle of rank  $r$  over a cyclic variety; if

$$H^0((\wedge^s \mathcal{E})_{\text{norm}}) = 0 \quad \text{for } 1 \leq s \leq r - 1,$$

then  $\mathcal{E}$  is stable. Conversely, if  $\mathcal{E}$  is stable then  $H^0(\mathcal{E}_{\text{norm}}) = 0$ .

*Proof.*

( $\Rightarrow$ ) A section of  $\mathcal{E}_{\text{norm}}$  would give  $\mathcal{O}(k_{\mathcal{E}}) \hookrightarrow \mathcal{E}$ , violating stability:

$$\mu(\mathcal{O}(k_{\mathcal{E}})) = k_{\mathcal{E}} \geq \frac{c_1(\mathcal{E})}{r} = \mu(\mathcal{E}).$$

( $\Leftarrow$ ) A destabilising  $\mathcal{F} \hookrightarrow \mathcal{E}$  of rank  $s$  gives  $\wedge^s \mathcal{F} \hookrightarrow \wedge^s \mathcal{E}$ , hence a section of  $(\wedge^s \mathcal{E})(-a)$  with  $\det \mathcal{F} = \mathcal{O}_X(a)$ .

By hypothesis, we must have  $a < k_s := k_{\wedge^s \mathcal{E}}$ , but then

$$a = \deg \mathcal{F} \geq s \cdot \mu(\mathcal{E}) = \mu(\wedge^s \mathcal{E}) > k_s - 1 \geq a \quad (!) \quad \square$$

# Asymptotically stable bundles over cyclic Fano 3-folds

**Proposition 3** (Jardim-S.). *Let  $\mathcal{E} \rightarrow X^3$  be the vector bundle, over a nonsingular cyclic Fano variety, arising from an instanton monad of the form*

$$0 \longrightarrow \mathcal{O}(-1)^{\oplus c} \xrightarrow{\alpha} \mathcal{O}^{\oplus 2+2c} \xrightarrow{\beta} \mathcal{O}(1)^{\oplus c} \longrightarrow 0 \quad (1)$$

*Then  $\mathcal{E} := \frac{\ker \beta}{\operatorname{img} \alpha}$  is stable. If moreover  $D \subset X$  is a cyclic divisor, then  $\mathcal{E}|_D$  is stable.*

**Recall:** Therefore  $\mathcal{E}$  is a  $G_2$ -instanton bundle, by Theorem 1.

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

# Trivial example: null-correlation bundle over $\mathbb{P}^3$

$$X = \mathbb{P}^3, \quad D \in |-K_{\mathbb{P}^3}| = |\mathcal{O}(4)|, \quad r = 2, \quad c = 1$$

$$\begin{array}{ccccccc}
 & & 0 & & & & \\
 & & \downarrow & & & & \\
 & & \mathcal{O}(-5) & & & & \\
 & & \downarrow & & & & \\
 0 & \longrightarrow & K(-4) & \longrightarrow & \mathcal{O}(-4)^{\oplus 4} & \longrightarrow & \mathcal{O}(-3) \longrightarrow 0 \\
 & & \downarrow & & & & \downarrow \\
 0 & \longrightarrow & \mathcal{E}(-4) & \longrightarrow & \mathcal{E} & \longrightarrow & \mathcal{E}|_D \longrightarrow 0 \\
 & & \downarrow & & & & \\
 & & 0 & & & & 
 \end{array}$$

¿How about larger Picard group, e.g.  $\mathbb{P}^2 \times \mathbb{P}^1$ ?

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

Slope stability and normalisation

Hoppe's stability criterion

Hoppe's criterion, II

Hoppe's criterion, III

Asymptotically stable bundles over cyclic Fano 3-folds

Trivial example: null-correlation bundle over  $\mathbb{P}^3$

A polycyclic Hoppe theory

Gauge theory in higher dimensions

---

Twisted connected sums

---

The Hermitian Yang-Mills problem

---

Construction of asymptotically stable bundles

---

A polycyclic Hoppe theory

---

Polytwists

L-degree

Examples

L-normalisation

L-slope and L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

# A polycyclic Hoppe theory

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

A projective variety  $X$  will be called *polycyclic* if  $\text{Pic}(X) \simeq \mathbb{Z}^{l+1}$  for some  $l \geq 0$ . (e.g., all weak Fano 3-folds).

Fix  $\text{Pic}(X) = \langle \Upsilon_0, \Upsilon_1, \dots, \Upsilon_l \rangle$ ; given  $\vec{p} \in \mathbb{Z}^{l+1}$  one denotes

$$\mathcal{O}(\vec{p}) = \mathcal{O}_X(p_0, \dots, p_l) := \Upsilon_0^{\otimes p_0} \otimes \dots \otimes \Upsilon_l^{\otimes p_l}.$$

Accordingly, given  $\mathcal{E} \rightarrow X$ , its *polytwist* is denoted by

$$\mathcal{E}(\vec{p}) = \mathcal{E}(p_0, \dots, p_l) := \mathcal{E} \otimes \mathcal{O}(p_0, \dots, p_l).$$

Set  $[h_i] := c_1(\Upsilon_i) \in H^2(X, \mathbb{Z})$ . For a torsion-free coherent sheaf  $\mathcal{F}$  of rank  $s$  and  $[c_1(\mathcal{F})] = p_0[h_0] + \dots + p_l[h_l]$ :

$$\det \mathcal{F} = (\wedge^s \mathcal{F})^{\vee\vee} = \mathcal{O}(p_0, \dots, p_l),$$

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

Fix polarisation  $L \rightarrow X$ ; the  $L$ -degree of  $\mathcal{F}$  is

$$\deg_L \mathcal{F} := c_1(\mathcal{F}) \cdot L^{\dim X - 1}$$

and it induces a linear functional  $\delta_L$  on the lattice  $\mathbb{Z}^{l+1}$ :

$$\delta_L(p_0, \dots, p_l) := \deg_L \mathcal{O}(p_0, \dots, p_l).$$

Denoting by  $\{\vec{e}_i\}_{i=0, \dots, l}$  the canonical basis of  $\mathbb{Z}^{l+1}$ :

$$\deg_L \mathcal{F}(m \vec{e}_i) = \deg_L \mathcal{F} + m (\text{rank } \mathcal{F}) \delta_L(\vec{e}_i).$$

**Example 1** (Cartesian product).  $X = \mathbb{P}^n \times \mathbb{P}^m$ ,  $L := \mathcal{O}(1, 1)$ :

$$\deg_L \mathcal{F} = \frac{n(n+1) \cdots (n+m-1)}{m!} \left( p_1 + \frac{m}{n} p_2 \right).$$

**Example 2** (Hirzebruch surfaces).  $X = \Sigma_a := \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1})$ ,  $\text{Pic}(X) = \mathbb{Z}.S_a \oplus \mathbb{Z}.H$ ,  $L := \mathcal{O}(1, a+1)$ ; if  $\det \mathcal{F} = \mathcal{O}(p_1, p_2)$ , then

$$\deg_L \mathcal{F} = (a+1)p_1 + p_2 - ap_1 = p_1 + p_2.$$

**Example 3** (Blow-up of  $\mathbb{P}^2$  at  $l$  points).  $X = \tilde{\mathbb{P}}^2(l)$ ,  $\text{Pic}(X) = \mathbb{Z}.E_1 \oplus \cdots \oplus \mathbb{Z}.E_l \oplus \mathbb{Z}.H$ ,  $L := \mathcal{O}(-1, \dots, -1, l+1)$ ; if  $\det \mathcal{F} = \mathcal{O}(p_1, \dots, p_{l+1})$  then

$$\deg_L \mathcal{F} = p_1 + \cdots + p_l + (l+1)p_{l+1}.$$

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

For  $i = 0, \dots, l$ :

The  $i^{\text{th}}$  degree of  $\mathcal{F}$  and the  $i^{\text{th}}$  slope of  $\mathcal{F}$  are

$$\deg_{\vec{e}_i} \mathcal{F} := c_1(\Upsilon_i) \cdot L^{n-1} \quad \text{and} \quad \mu_{\vec{e}_i}(\mathcal{F}) := \frac{\deg_{\vec{e}_i} \mathcal{F}}{\text{rank } \mathcal{F}}$$

so that  $\deg_L \mathcal{F} = \sum_{i=0}^l \deg_{\vec{e}_i} \mathcal{F}$  and  $\mu_L(\mathcal{F}) = \sum_{i=0}^l \mu_{\vec{e}_i}(\mathcal{F})$ .

The  $L$ -normalisation of  $\mathcal{F}$  is

$$\mathcal{F}_{L\text{-norm}} := \mathcal{F}(-\vec{k}_{\mathcal{F}})$$

where  $\vec{k}_{\mathcal{F}} \in \mathbb{Z}^{l+1}$  has components  $k_{\mathcal{F}}^i := \left\lceil \frac{\mu_{\vec{e}_i}(\mathcal{F})}{\delta_L(\vec{e}_i)} \right\rceil$ . Indeed:

$$1 - r \cdot \delta_L(\vec{e}_i) \leq \deg_{\vec{e}_i} \mathcal{F}_{L\text{-norm}} \leq 0.$$

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

The  $L$ -slope of a sheaf is essentially bounded below by its  $L$ -normalisation:

**Lemma 1.** *Let  $\vec{k}_{\mathcal{F}}$  be the  $L$ -normalisation vector of  $\mathcal{F}$ , and set  $\delta_L(L) := \deg_L(L)$ ; then*

$$\mu_L(\mathcal{F}) > \delta_L(\vec{k}_{\mathcal{F}}) - \delta_L(L) + t_{\mathcal{F}}$$

$$\text{where } t_{\mathcal{F}} := \left[ \mu_L(\mathcal{F}) - \delta_L(\vec{k}) + \delta_L(L) - 1 \right] \geq 0.$$

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

**Theorem 4** (Polycyclic Hoppe Criterion). *Let  $\mathcal{E} \rightarrow X$  be a holomorphic vector bundle of rank  $r \geq 2$  over a polycyclic variety with  $\text{Pic}(X) \simeq \mathbb{Z}^{l+1}$  and polarisation  $L$ ; define the constant  $t_s := t_{\wedge^s \mathcal{E}}$  as by Lemma 1. If*

$$H^0(X, (\wedge^s \mathcal{E})_{L\text{-norm}}(\vec{p})) = 0 \quad (*)$$

for all  $\vec{p} \in \mathbb{Z}^{l+1}$  such that

$$\delta_L(\vec{p}) < \delta_L(L) - t_s \quad (i)$$

then  $\mathcal{E}$  is stable.

Conversely, if  $\mathcal{E}$  is stable then

$$H^0(X, \mathcal{E}(\vec{p})) = 0, \quad \forall \vec{p} \text{ such that } \delta_L(\vec{p}) \leq -\mu_L(\mathcal{E}).$$

*Proof.* Suppose  $\mathcal{F} \hookrightarrow \mathcal{E}$  is a destabilising sheaf of rank  $s$ , such that  $\det \mathcal{F} = \mathcal{O}_X(\vec{a})$ . The inclusion induces a map  $\wedge^s \mathcal{F} \hookrightarrow \wedge^s \mathcal{E}$  and so  $H^0(X, (\wedge^s \mathcal{E})(-\vec{a})) \neq 0$ , i.e.,

$$H^0(X, (\wedge^s \mathcal{E})_{L\text{-norm}}(\vec{k}_s - \vec{a})) \neq 0.$$

If, for  $\vec{p} := \vec{k}_s - \vec{a}$ , there could occur  $\delta_L(\vec{p}) \geq \delta_L(L) - t_s$ , then Lemma 1 would imply a contradiction:

$$\begin{aligned} \delta_L(\vec{a}) &= \deg \mathcal{F} \geq s \mu_L(\mathcal{E}) = \mu_L(\wedge^s \mathcal{E}) \\ &> \delta_L(\vec{k}_s) - \delta_L(L) + t_s \\ &\geq \delta_L(\vec{a}) \end{aligned}$$

using  $\mu_L(\mathcal{E}) \leq \mu_L(\mathcal{F}) = \frac{\deg \mathcal{F}}{s}$ ; thus  $\vec{p}$  satisfies (i).

Converse: trivial. □

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

**Proposition 5 (Jardim-S.).** *Let  $\mathcal{E} \rightarrow \mathbb{P}^n \times \mathbb{P}^m$ ,  $n \geq m > 1$  be a  $L$ -stable vector bundle obtained as the cohomology of a monad of the form*

$$0 \rightarrow (-1, 0)^{\oplus a} \xrightarrow{\alpha} \oplus^b \oplus (-1, 1)^{\oplus c} \xrightarrow{\beta} (0, 1)^{\oplus a} \rightarrow 0 \quad (2)$$

*such that  $c \leq a$  and  $b + c - 2a = 2$ . If  $D \subset X$  is a polycyclic divisor of positive polydegree, then  $\mathcal{E}|_D$  is  $\mathcal{O}_D(1, 1)$ -stable.*

¿ How about  $\mathbb{P}^2 \times \mathbb{P}^1$ !?

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

## ¿What if the vanishing hypothesis is too strong e.g.: monad cohomologies over $\mathbb{P}^2 \times \mathbb{P}^1$ !?

A polycyclic variety  $X$  will be called a *polycyclic family over a cyclic variety*  $Z$  if it admits a projective morphism  $X \xrightarrow{\pi} Z$  s.t.  $\pi^* \text{Pic}(Z) \hookrightarrow \text{Pic}(X)$  is an injection.

So  $\text{Pic}(X) = \langle \Upsilon_0, \Upsilon_1, \dots, \Upsilon_l \rangle \simeq \mathbb{Z}^{l+1}$  with  $\Upsilon_0 \in |\pi^*(\mathcal{O}_Z(1))|$ .  
Given a bundle  $Q \rightarrow X$ , fix  $z \in Z$  s.t.  $Y_z := \pi^{-1}(z)$  has  
 $\text{Pic}(Y_z) = \langle \Upsilon_1, \dots, \Upsilon_l \rangle$ .

$$0 \longrightarrow Q(-d_0) \xrightarrow{\sigma_z} Q \xrightarrow{\rho_z} Q|_{Y_z} \longrightarrow 0$$

We will say that  $Q$  has the *restriction property at  $z$*  if sections restrict nontrivially to  $Y_z$ , i.e.,

$$0 \neq \sigma \in H^0(X, Q) \implies 0 \neq \rho_z(\sigma) \in H^0(Y_z, Q|_{Y_z}). \quad (3)$$

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

# Polycyclic Hoppe criterion, II

**Definition 6.** Let  $Q \rightarrow Y$  be a holomorphic bundle over a polycyclic variety with  $\text{Pic}(Y) \simeq \mathbb{Z}^l$ ; then  $\vec{v} \in \mathbb{Z}^l$  is a bounding vector for  $Q$  if, given  $\vec{m} \in \mathbb{Z}^l$ ,

$$m_i \leq -v_i \text{ for some } 1 \leq i \leq l \Rightarrow H^0(Y, Q(\vec{m})) = 0.$$

**Corollary 7.** If, moreover,  $X$  is a polycyclic family over  $Z$  admitting a point  $z \in Z$  such that, for each  $1 \leq s \leq r - 1$ , the bundle  $\wedge^s G$  admits a bounding vector  $\vec{v}_s = \vec{v}_s(z)$  and has the restriction property (3) at  $z$ , then it suffices to check (\*) for all  $\vec{p}$  satisfying both (i) and:

$$p^i < k_s^i + v_s^i, \quad i = 1, \dots, l \quad (ii)$$

where  $\vec{k}_s := \vec{k}_{\wedge^s G}$  is the  $L$ -normalisation vector.

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and

L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

# Examples: extensions over $\mathbb{P}^2 \times \mathbb{P}^1$

Given integers  $p \geq s$  and  $t \geq q + 2$ , we obtain a bundle  $\mathcal{E} \rightarrow \mathbb{P}^2 \times \mathbb{P}^1$  as a non-trivial extension of the form:

$$0 \longrightarrow \mathcal{O}(p, q) \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}(s, t) \longrightarrow 0 .$$

A judicious choice of  $p, q, s, t$  guarantees that  $\mathcal{E}$  is asymptotically stable [Jardim-Prata-S.], e.g.:

$$0 \longrightarrow \mathcal{O}(-1, -1) \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}(-1, 1) \longrightarrow 0$$

$$0 \longrightarrow \mathcal{O}(-1, 0) \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}(-1, 2) \longrightarrow 0$$

$$0 \longrightarrow \mathcal{O}(-1, 1) \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}(-1, 3) \longrightarrow 0$$

... and many more!

NB.: Required a *polycyclic* stability theory.

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end

¿How about *rigid* asymptotically stable examples?

¿Can we extend the theory to ‘asymptotically stable’ reflexive sheaves?

Thank you!

H.N.S.,  $G_2$ -instantons over asymptotically cylindrical manifolds, to appear in *Geometry & Topology* (2011).

H.N.S. & Thomas Walpuski,  $G_2$ -instantons over twisted connected sums, to appear in *Geometry & Topology* (2013).

Marcos Jardim, Daniela Prata & H.N.S., *Holomorphic bundles for higher dimensional gauge theory*, submitted (2014).

Gauge theory in higher dimensions

Twisted connected sums

The Hermitian Yang-Mills problem

Construction of asymptotically stable bundles

A polycyclic Hoppe theory

Polytwists

L-degree

Examples

L-normalisation

L-slope and L-normalisation

Polycyclic Hoppe criterion, I

Proof

Example

The restriction property

Polycyclic Hoppe criterion, II

Examples: extensions over  $\mathbb{P}^2 \times \mathbb{P}^1$

The end